

UNITED STATES DISTRICT COURT

FILED

for the

2:50 pm Jun 07 2021

Northern District of Ohio

Clerk U.S. District Court**Northern District of Ohio****Cleveland**

United States of America

v.

PAUL SPIVAK

Case No. 1:21MJ4128-JDG

*Defendant(s)***CRIMINAL COMPLAINT**

I, the complainant in this case, state that the following is true to the best of my knowledge and belief.

On or about the date(s) of 2.15.21 - 6.7.21 in the county of Cuyahoga in the
Northern District of Ohio, the defendant(s) violated:*Code Section*

18 U.S.C., § 371

Offense Description

Conspiracy to commit Securities Fraud

This criminal complaint is based on these facts:

SEE ATTACHED AFFIDAVIT.

☒ Continued on the attached sheet.*Complainant's signature*

Anthony Fry, Special Agent, FBI

*Printed name and title*Sworn to via telephone after submission by reliable
electronic means. Fed. R. Crim. P. 3, 4(d), and 4.1.Date: 06-07-2021City and state: Cleveland, Ohio*Judge's signature*

Jonathan D. Greenberg, U.S. Magistrate Judge

Printed name and title